2015 JAUIP Summer IP Seminar Practitioner Course

# IP Harmonization - International framework -

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- 2. Harmonization of IPR
- 3. Global IP Policy
- 4. Discussion

#### **Development of Industry in Japan**



#### First Japanese Car in 1936

https://gazoo.com/car/history/Pages/car\_history\_001.aspx

#### It started from only one license in 1936. Now, it is global automobile company.

#### **Development of Industry in Japan**



Two way Socket in 1920

http://www.panasonic.com/jp/corporate/history/museum/product.html

It started from only one small device in 1920. Now, it is global electric company.

# IP Cycle

Creating a high technology [creation], protecting as intellectual property [protection], manufacturing the products from intellectual property [utilization], again creating a high technology, and realizing the sustainable innovation.



### Japan's experience

- Japan had high economic growth in the latter half of the 20th century, which was based on IPR. Many Japanese companies started from only one IPR. Then, now they are global companies.
- IPR can advance IP-cycle which proceed "Creation", "Protection" and "Utilization". IPR is necessary to develop the economy and maintain high economic growth.
- Many countries are advancing IPR in their own countries. IP Policy can play an important role to maintain high economic growth in their countries.

## 1. Globalization of IPR

WIPO, "Patent Cooperation Treaty Yearly Review 2015" http://www.wipo.int/edocs/pubdocs/en/wipo\_pub\_901\_2015.pdf

# PCT system

The Patent Cooperation Treaty (PCT) is administered by WIPO which offers an advantageous route for patent protection internationally.



PCT system is appropriate for international patent protection. Accordingly, PCT statistics can indicate the international trends of IPR.

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214,500	+4.5%	PCT Application
565,500	+4.3%	National Phase Entries
49,621	+8.7%	Applicants
148	+0	Member states

Source: WIPO, "Patent Cooperation Treaty Yearly Review 2015"

PCT Statistics in 2014 (compared to 2013)

#### **PCT Contracting States in 2014**



PCT applications is increasing, and set a new record in 2014 as 214,500 applications. PCT Statistics indicates that IPR is becoming global since 1990.



PCT Statistics in 2014 (WIPO)

PCT applications by region of origin are shown below. Asia is top share as 40.6% in 2014. North America and Europe are following as 30.1% and 27.4%.



Source: WIPO, "Patent Cooperation Treaty Yearly Review 2015"

PCT Applications in 2014 (WIPO)

 $\geq$  PCT applications for top 15 origins are shown below. Japan, China and Korea are listed as second, fourth and fifth respectively. [International Phase]



PCT applications for top 15 middle-income origins are shown below. India, Brazil, Malaysia, Thailand and Philippines are listed as below. [International Phase]



#### Top 20 PCT applicants (business) are shown below. Japanese, Chinese and Korean companies are listed.

Overall rank	Changed position from 2013	Applicants	Origin	Applications	Change from 2013
1	2	HUAWEI TECHNOLOGIES CO., LTD.	China	3,442	1,332
2	2	QUALCOMM INCORPORATED	United States of America	2,409	351
3	-1	ZTE CORPORATION	China	2,179	-130
4	-3	PANASONIC CORPORATION	Japan	1,682	-1,157
5	7	MITSUBISHI ELECTRIC CORPORATION	Japan	1,593	280
6	-1	INTEL CORPORATION	United States of America	1,539	-332
7	2	TELEFONAKTIEBOLAGET LM ERICSSON (PUBL)	Sweden	1,512	44
8	12	MICROSOFT CORPORATION	United States of America	1,460	652
9	2	SIEMENS AKTIENGESELLSCHAFT	Germany	1,399	51
10	0	KONINKLIJKE PHILIPS ELECTRONICS N.V.	Netherlands	1,391	-32
11	2	SAMSUNG ELECTRONICS CO., LTD.	Republic of Korea	1,381	183
12	-4	TOYOTA JIDOSHA KABUSHIKI KAISHA	Japan	1,378	-320
13	-6	ROBERT BOSCH CORPORATION	Germany	1,371	-438
14	-8	SHARP KABUSHIKI KAISHA	Japan	1,227	-612
15	-1	NEC CORPORATION	Japan	1,215	26
16	-1	LG ELECTRONICS INC.	Republic of Korea	1,138	-40
17	36	TENCENT TECHNOLOGY (SHENZHEN) COMPANY LIMITED	China	1,086	727
18	-2	FUJIFILM CORPORATION	Japan	1,072	69
19	31	UNITED TECHNOLOGIES CORPORATION	United States of America	1,013	643
20	-1	HITACHI, LTD.	Japan	996	141

Source: WIPO, "Patent Cooperation Treaty Yearly Review 2015"

PCT Applications in 2014 (WIPO)

# Top 20 PCT applicants (universities) are shown below. Japanese, Chinese and Singapore universities are listed.

Overall rank	Applicants	Origin	Applications	Change from 2013
1	UNIVERSITY OF CALIFORNIA	United States of America	413	15
2	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	United States of America	234	15
3	UNIVERSITY OF TEXAS SYSTEM	United States of America	154	35
4	HARVARD UNIVERSITY	United States of America	147	26
5	JOHNS HOPKINS UNIVERSITY	United States of America	135	19
6	LELAND STANFORD JUNIOR UNIVERSITY	United States of America	113	12
7	COLUMBIA UNIVERSITY	United States of America	112	-21
8	CALIFORNIA INSTITUTE OF TECHNOLOGY	United States of America	103	12
9	UNIVERSITY OF PENNSYLVANIA	United States of America	94	22
10	SEOUL NATIONAL UNIVERSITY	Republic of Korea	92	12
11	CORNELL UNIVERSITY	United States of America	87	-8
12	NANYANG TECHNOLOGICAL UNIVERSITY	Singapore	82	7
13	UNIVERSITY OF FLORIDA	United States of America	81	-8
14	KYOTO UNIVERSITY	Japan	81	23
15	DANMARKS TEKNISKE UNIVERSITET	Denmark	81	33
16	UNIVERSITY OF TOKYO	Japan	79	3
17	UNIVERSITY OF MICHIGAN	United States of America	78	7
17	KOREA UNIVERSITY	Republic of Korea	77	20
19	PEKING UNIVERSITY	China	76	-1
20	UNIVERSITY OF WASHINGTON	United States of America	74	21

Source: WIPO, "Patent Cooperation Treaty Yearly Review 2015"

#### PCT Applications in 2014 (WIPO)

### Summary

- PCT applications has increased during past 20 years. PCT statistics (including the number of National Phase Entries, Member States, etc.) can indicate the globalization of IPR.
- Until recently, the PCT system was mainly used by applicants from North America and Western Europe. In 2010, East Asia (Japan, China and Korea) overtook them to become the region of the most PCT filings.
- Other Asian countries such as India, Malaysia, Thailand, Singapore, Philippines, Vietnam and Indonesia are also in a good position. Brazil is also in a good position.

# 2. Harmonization of IPR

# International IP Framework

WIPO, "Standing Committee on the Law of Patents (SCP)" http://www.wipo.int/policy/en/scp/

### WIPO Standing Committees

- These are ad hoc committees of experts established by a decision of the General Assembly for a given purpose, e.g. to determine the need or otherwise for new treaty provisions:
  - Standing Committee on the Law of Patents (SCP)
  - Standing Committee on the Law of Trademarks, Industrial Designs and Geographical Indications (SCT)
  - Standing Committee on Copyright and Related Rights (SCCR)
  - Committee on WIPO Standards (CWS)



- The SCP (Standing Committee on the Law of Patents) was created in 1998 to serve as a forum to discuss issues, facilitate coordination and provide guidance concerning the progressive international development of patent law.
- The PLT (Patent Law Treaty) was adopted by the Diplomatic Conference for the Adoption of the Patent Law Treaty on June 1, 2000.
- Discussions on the draft Substantive Patent Law Treaty (SPLT) started at the fifth session of the SCP in May 2001.

#### Current issues in the SCP

Since the 16<sup>th</sup> SCP meeting in 2011, the SCP has been discussing the following five substantive topics:

- 1. Exceptions and limitations to patent rights
- 2. Technology transfer
- 3. Quality of patents, including opposition systems
- 4. Confidentiality of communications between patent advisors and their clients
- 5. Patents and health

#### 1. Exceptions and limitations to patent rights

- Allowing the enforcement of the full scope of the exclusive rights in all circumstances may not always meet the ultimate goal of the patent system to enhance the public welfare.
- Therefore, the scope of the enforceable exclusive rights may be set under national patent laws according to certain exceptions and limitations to patent rights that have been reserved for decision by individual countries.

\* "Experiment or Research" is excluded in Japan.

#### 2. Technology transfer

It sets up a legal framework that allows technology holders to disclose their inventions to the public and license or sell their patents, without fear of free-riding.

- A wider group of researchers and engineers who access the disclosed knowledge may, in turn, further contribute to the development of the technology concerned.
- The possibility of defining ownership and a clear boundary of rights facilitates the transfer of technology protected by patents.

#### 3. Quality of patents

Quality is an essential aspect of the patent system to ensure that it serves its purpose of promoting innovation, contributing to dissemination and transfer of technology.

Errors in patent grant and administration procedures can cause legal uncertainty and increase costs for all users of the patent system: rights-holders, competitors, users of patent information and the patent Offices themselves.

\* Japan introduced Patent Opposition System in April of 2015.

#### 4. Confidentiality of communications

- It is widely recognized that protecting the confidentiality of professional advice from patent advisors to their clients promotes frank and open communications necessary to high quality advice.
- Consequently, most countries, under both civil law and common law traditions, ensure confidentiality of such advice either through contractual arrangements between patent advisors and their clients, codes of conduct set by professional associations.
  - \* Japan Patent Attorney's Association ruled codes of conduct.

#### 5. Patents and health

- Policy-makers have to find the right balance between the rights of patent holders who produce medical innovations, and the public who need access to them.
- In order to address these challenges, the WIPO's Standing Committee on the Law of Patents (SCP) examines the role of the patent system in striking the right balance between innovation and technology access.

\* Compulsory license for public benefit (article 93 in Japan patent law)

#### Next SCP

- At the 21st SCP meeting of November, 2014, through seminars or presentations about these five items, opinions were exchanged. Japan gave presentation about experience of the work-sharing including PPH.
- At the next SCP meeting, the study about the inventive step and disclosure will be argued.

\* In the future, SPLT will be adopted.

# International IP Service

WIPO, "IP and technology databases" http://www.wipo.int/reference/en/

#### **WIPO IP Database**

#### IP and technology databases

WIPO's global databases make it easy for anyone, anywhere, to access the wealth of information in the IP system. They are based on our commitment to creating an inter-connected and inclusive knowledge-sharing IP infrastructure to support innovation worldwide.

#### PATENTSCOPE

Search the technology contained in more than 49 million **patent documents**, including international patent applications submitted under the PCT.

#### Global Brand Database

Search **brand information** from multiple national and international sources, including trademarks, appellations of origin and official emblems.

#### ROMARIN

Search detailed information, updated daily, on all **international marks** recorded under the Madrid system, which are currently in force or have expired within the past six months.

#### **Global Design Database**

Search **industrial design** registrations from the Hague System plus participating national collections.

#### **Hague Express**

Access details of industrial designs registered under the **Hague System**.

#### **Lisbon Express**

Search **appellations of origin** registered under the Lisbon system.

#### Article 6ter

Search for the **State emblems**, and names, abbreviations and other emblems of IGOs, which have been communicated for protection under Article 6*ter*.

#### WIPO Pearl

Use our multilingual **terminology database** to find accurate IP and technological terms and concepts in 10 languages. Contains over 100,000 terms, each validated by WIPO's terminology experts.

#### More databases for innovators

- Access to Research for Development and Innovation (ARDI)
- Access to Specialized Patent Information (ASPI)

#### Patent Scope

PATENTSCOPE is the database to search the technology contained in more than 49 million patent documents, including international patent applications under the PCT.

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1. (W02015112155) TWO SIDED MULTUPLY TISSUE PRODUCT PCT Biblio, Data Description Claims National Phase Notices Drawings Docume Latest bibliographic data on file with the international Bureau 🛛 💭 Submit observation Permai link BR Pub. No.: W0/2015/112155 Publication Date: 30.07.2015 International Application No.: PCT/US2014/012856 International Filing Date: 24 01 2014 IPC-Results 1, 10 of 1 000 D21H 27/40 (2006 01) D21H 27/00 (2006 01) DIRV. KIMBERLY-CLARK WORLDWIDE, INC. [US/US]; 2300 Winchester Road Neenah, Wisconsin 54956 Applicants Rofine Search (CR GOULET, Mike, Thomas; (US). BRADLEY, Elizabeth, Oriel: (US). Inventors CARLOW, Geoffrey, Fenn: (US) SULLIVAN, Michael, J.; Kimberly-Clark Worldwide, Inc. 2300 Winchester Road Neenah, Wisconsin Agent: 54956 (UB Sort by: Pub Date D Priority Data: Title (EN) TWO SIDED MULTI-PLY TISSUE PRODUCT (FR) PRODUIT EN PAPIER MULTICOUCHE À DEUX FACES 1 W0/2015/112155 Abstract: (EN)The invention provides a multi-ply tissue product having different surface characteristics on each side of the product. The multi-ply tissue product may be formed from D21H 27/40 The invention provid two or more plies where one surface is formed by a may be formed from t substantially smooth creped ply and the other surface is formed by a textured through-air dried ply. In this manner textured through-air dr general household with the fissue product may be used for both personal care. which requires a soft and smooth surface, and general household wiping, which requires a rough, durable surface. (FR)L'Invention concerne un produit en papier multicouche 2. WO/2015/112160 M possédant différentes caractéristiques de surface sur E21B 23/08 possibilitation d'informate caracteristiques de sonnex sur chaque côté du produit. Le produit en papier multicouche peut être formé à partir de deux couches cu plus dù une surface est formée par une couche crépée sensiblement lisse et fautre surface est formée The disclosed embod rajectory control for c par une couche texturée séchée par circulation d'air. De cette manière, le produit en papier peut être a PID controller is con par da costra interesta a care par circulator dan. Da care da costa rialman, a poder en papar par da Ulterá à la fois pour le soin personnel, qui exige une surface douce et lisse, et l'essuyage domestique général, qui exige une surface rêche durable. deviates from the the r wellbore trajectory par 94-60 de engleten conservationader. R.A. R.A. A. M. M. A.O. AT. AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, EB, FI, OB, GD, GE, GH, GM, GT, HN, HR, HU, ID IL, IN, IR, IB, JP, HK, KG, KN, KP, RK, KZ, IA, LC, LK, IR, LS, LT, LU, LY, MA, IMD, ME, MG, NK, NN, Designated States: **INFRASTRUCTURE** HEWLETT-PACKARD DEVELOPMENT COMPANY, SURAGI MATH, Shiva, LP. G06F 17/30 PCT/US2014/012250 Provided is a method of automatically discovering topology of an information technology (iT) infrastructure. Topology relationships amongst configuration items (CI) present in a semi-structured data generated by an information technology component of the IT infrastructure are dete Topology relationships amongst configuration items (CI) present in a structured clata generated by the information technology component of the IT

Website: http://patentscope.wipo.int

Examples of search results

#### **Global Brand Database**

Global Brand database is the system to search brand from multiple national and international sources. JPO provides Japan's trademark information to this system since 2015.

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Website: http://www.wipo.int/branddb/en/

Examples of search results 32

#### ROMARIN

ROMARIN is the system to search the detailed information on all international marks recorded under the Madrid system.

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Website: http://www.wipo.int/romarin/

#### **Global Design Database**

#### Global Design database is the system to search industrial designs from multiple national and international sources.

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	438492	NZID	Invacare International S&I	2015-08-04	13-12		Wheelchair frame element	NZ	1	
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Website: http://www.wipo.int/designdb/en/

#### Examples of search results

#### Hague Express

The Hague Express Database is the system to search the detailed information on industrial designs registered under the Hague System.

SE	ARCH BY Design	Names Numbers Dates Country			FILTER BY Designation Loc	erro Cless Reg. Date H	Contracting Party N	
I	ndisation of " • [] Products Jecarno Class • [ Description * = []				CH         33.045         FR         23.320         CR           II         10.244         R5         14.027         DB           II         0.244         8.0270         DB         2.071         DI           II         0.244         8.0270         DB         2.071         DI           III         0.444         8.071         DI         2.071         DI           IIII         0.714         0.916         DI         DI	20.077 LLJ 19,874 14,787 TN 94,000 E 0,400 VA 8,200 T 6,940 UA 6,307 4,952 SS 4,856 V 2,856 MG 2,375 B 1,955 BA 1,485 A 990 V 977 /	EM 11,008 GR TR 8,000 ME AM 0,212 RO KP 4,534 HR BZ 1,985 CI AM 1,454 CIM	18.074 BK 15.0774 A 8.064 MC 8.077 A 7.009 RS 6.075 8.269 MO 8.005 4449 BS 4.026 1.022 SN 1.853 1.029 AZ 875 ¥ artilize 364
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	CM/057002	SOCIÉTÉ DES PRODUITS NESTLÉ S.A.	2015-07-17	22-00	1. Decorative pattern	CH,EM	1	
	CM/086681	PERSAMENT PROMET D.O.D	2015-07-18	19-04	1-3. Cover pages for notebooks	сн	3	
	DM/080983	MÜLLER & MEIRER LEDERWARENFABRIK GMBH	2015-07-10	03-01	1. Wheeled luggage	CHNO	1	
1	CM/08082	GOLD PLAST S.P.A.	2015-07-10	07-01	1.8. Containers for finger-food; 410. Drinking glasses	MA, CH, EM, TR	10	
1	CM/080980	SUMOLIGHT GWEH	2215-07-10	25-05	1. Lang for photography or cinematography	EM, US	1	0
1	DM/087010	HANSGROHE SE	2015-07-15	23-01	1.6. Sanitary faucets; 6. Base body for a sanitary faucet; 7. Ha.	MA, CH, EG, ME, MK, NO, RS,	10	4
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1	CM/088678	MTSUBISH ELECTRIC CORPORATION	2015-07-13	14-01	1. Recorder for surveillance camera	EM	1	Section of the sectio
1	CM/089894	VOLKSWAGEN AKTIENGESELLSCHAFT	2015-07-13	13-08	1-4. Matar meticles	CH, EM, HR, TR, UA	4	



Website: http://www.wipo.int/designdb/hague/en/

#### WIPO Lex

WIPO Lex is a one-stop search facility for national laws and treaties on intellectual property of WIPO, WTO and UN Members.

WIPO Lex		
intellectual property (IP) of V information which elaborates	ch facility for national laws and treaties on VIPO, WTO and UN Members. It also features related s, analyzes and interprets these laws and treaties. It to reference material of key importance for optimal System.	Members' Profiles Treaty Secretariat WIPO-WTO Common Portal Glossary
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Website: http://www.wipo.int/wipolex/en/

		Query: Australia Patents (Inventions) 99 record(s) found. Main IP Laws: enacted by the Legislature	1
Query: Mexico Frademarks 45 record(s) found	L		ws Amendment Act 2015
Main IP La	ws: enacted	by the Legislature	ws Amendment Act 2003
Date of Text	Entity	Trite	novation Patents) Act 2000
December 8, 2009	Mexico	Decree adding paragraphs third and fourth to the Article 181 on the Industrial Property Law	vs Amendment Act 1998 rganization Amendments) Act 1994
April 19, 2005	Mexico	Decree amending and supplementing various provisions of the industrial Property Law of April 19, 2005	1581
IP-related I	laws: enact	ed by the Legislature	
Date of Text	Entity	Title	
December 22,	Mexico	Federal Law on Consumer Protection (as amended up to June 4, 2014)	

#### Examples of search results
# Patent Prosecution Highway (PPH)

JPO, "Patent Prosecution Highway Portal Site" https://www.jpo.go.jp/ppph-portal/index.htm



The Patent Prosecution Highway (PPH) is a framework in which an application whose claims have been determined to be patentable in OFF (Office of First Filing) is eligible to go through an accelerated examination in OSF (Office of Second Filing) with a simple procedure upon an applicant's request.





The PPH, through the exploitation of all the search/examination-related information of the OFF,

- (1) supports applicants in their efforts to obtain stable patent rights efficiently around the world and
- (2) reduces the search/examination burden and improves the quality of the examination of the major patent offices in the world.

## Requirements for PPH (1)

### [Requirements]

- 1. The application in the OSF validly claims priority from an application in the OFF.
- 2. The application in the OFF has at least one claim already determined to be patentable.
- All claims in the OSF application sufficiently correspond to the claims already determined to be patentable in the OFF.
- 4. The application in the OSF has not yet been examined.

## Requirements for PPH (2)

### [Necessary documents]

- 1. A table listing and containing an explanation of the sufficiently corresponding claims
- 2. A copy of claims already determined to be patentable in the OFF
- 3. Copies of all office actions of the OFF
- 4. Copies of references cited by the OFF

## PCT-PPH

Japanese Patent Office starts patent examination highway program using international phase works of the PCT application on January 29, 2010. (PCT-PPH)

In this program, applicant can apply for advanced examination using written opinion (WO/ISA) or international preliminary examination report (IPER/IPEA).



## **PPH-MOTTAINAI**

"MOTTAINAI" is a Japanese term meaning "a sense of regret concerning waste".



Case 1: OSF (Office B) provides the examination result to the OFF (Office A).



Case 2: OFF is other than Office A or Office B.

### **Global PPH**

Global Patent Prosecution Highway (PPH) pilot has launched in January, 2014.

The GPPH pilot will allow patent applicants to request accelerated examination at any of the offices involved in the pilot if their claims have been found to be acceptable by any of the other offices involved in the pilot.



### **Statistics**



### **Statistics**



## **Expanding PPH Network**



### **Expanding PPH Network**

### June 2015: EGYPO (Egypt)

### July 2015; OSIM (Romania)



> Which country is the next...?

### Summary

- WIPO has several ad hoc committees of experts. One of them is SCP (Standing Committee on the Law of Patents). Five substantive issues are now under discussion.
- WIPO is providing "Patent Scope" and other IP databases, which are useful for IP offices and practitioners.
- PPH is to enhance the utilization of the results of search and examination between the world's major IP Offices. For more efficiency, PCT-PPH started in January 2010, "PPH MOTTAINAI" started in July 2011 and "Global PPH" started in January, 2014.

# 3. Global IP Policy

# World IP Organization (WIPO)

WIPO, "Exploring the interface between IP and other policy topics" http://www.wipo.int/policy/en/

## WIPO Green

WIPO GREEN is an interactive marketplace that promotes innovation and diffusion of green technologies by connecting technology and service providers with those seeking innovative solutions.





Website: https://webaccess.wipo.int/green

Partners

## WIPO Green Database

WIPO GREEN database allows you to search green technology products, services and intellectual property assets, as well as post an announcement of a need.

#### **WIPO GREEN database**

Search for green technology and intellectual property assets. If you are a provider search for needs.



#### https://webaccess.wipo.int/green/

### **WIPO Green Cases**



About WIPO GREEN WIPO GREEN Network WIPO GREEN Database

#### **CIGS Thin Film Solar Cell Module**

CIGS thin film solar cell module has a light absorbing layer made of Cu(In1-xGax)Se2 (CIGS) compound. The module conversion efficiency is over 13% with the commercial products and has reached 15% with prototype. The cell including the CIGS layer is formed on a large glass substrate by sputtering technology. For this reason, it is relatively easy to produce a large-area module. And the effect of partial shade on the module to decrease the power generation is lower than crystalline silicon.

#### Technology features

Resources to be saved or improved	Electricity, Air
Benefits	Provides a CIGS thin film solar cell module with module conversion efficiency 15%.
Technology type	Process, Material
Technical fields	Alternative energy production > Solar energy

Published by Honda Motor Co.,Ltd Published: 8月 19, 2014 Edited: 8月 19, 2014

Contact Us

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Help



https://webaccess.wipo.int/green/SearchDetailPage.htm?id=TGREEN17625

## WIPO Green Cases

#### At a Glance:

#### HEPIA/EcaVert Vertical Green Biobed for treating agricultural effluents

- Environmental challenge: Reduce contamination of water from pesticides
- Technology solution: Treat effluents, diluted with water, by cycling them through a vertical "biobed" with mixture of soil, organic materials, and plants
- Regional technology dissemination: VG BiobedTM technology and associated know-how
- Transactions: Licensing, sales, joint research and development
- Key players: HEPIA, ecaVert, UNITEC, University of Neuchatel, distributor in Germany
- The technology "Vertical Green Biobed for the efficient degradation of pesticides" is accessible at www.wipo.int/green



## WIPO Green Cases

#### At a Glance:

### ECO SANITATION technology to improve rural sanitation

- Environmental challenge: Expand access to improved sanitation in places with no infrastructure
- Technology solution: Waterless toilet system, easy to install and maintain, that converts human waste into compostable material
- Technology dissemination: Manufacturing in South Africa with sales in Africa, the Caribbean, South America, Australia, Europe
- Transactions: Eco Sanitation Ltd., JoJo Tanks, local agents in many countries
- WIPO GREEN: Platform to identify new markets, and new partners for distribution and possibly manufacture



### WIPO Re:Search

WIPO Re:Search catalyzes the development of medical products for Neglected Tropical Diseases, malaria and tuberculosis by brokering new research partnerships and sharing knowledge.

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	Home	Database	Collaborations	Supporting Services	About Us		Search WIPO	م	

#### WIPO Re:Search

On this page: Activities | The challenge | Related links

WIPO Re:Search catalyzes the development of medical products for neglected tropical diseases, malaria, and tuberculosis through innovative research partnerships and knowledge sharing.

WIPO Re:Search was established in 2011 by the World Intellectual Property Organization in collaboration with BIO Ventures for Global Health (BVGH) and with the active participation of leading pharmaceutical companies and other private and public sector research organizations. Fine out more about WIPO Re:Search.

Why WIPO Re:Search? ↓

What are the benefits of WIPO Re:Search?  $\downarrow$ 



Learn about how WIPO Re:Search is contributing to the fight against neglected tropical diseases.

Still have questions about WIPO Re:Search? Read our full list of FAQs.

#### http://www.wipo.int/research/en/

### WIPO Re:Search Database

WIPO Re:Search database provides information on the intellectual property assets available for license or collaboration. These IP assets include compounds, data, enabling technologies, know-how, and much more.

#### WIPO Re:Search Database

· FAQs on the database

The WIPO Re:Search database provides information on the intellectual property assets available for licensing from WIPO Re:Search. These IP assets include compounds, enabling technologies, know-how, and more. The database facilitates the collaborations that help us to fight neglected tropical diseases, malaria, and tuberculosis.

#### Need to find out more about an entry in the database?

To learn more about any entry, please contact the Partnership Hub, managed by BIO Ventures for Global Health.

Structured Search	Full Text Search		
rovider:	Aberystwyth University	Kumasi Centre for Research in Tropical Medicine	Sanofi
	<ul> <li>African Institute of Biomedical Sciences and</li> </ul>	(KCRTM)	Stanford University
	Technology (AIBST)	<ul> <li>Liverpool School of Tropical Medicine (LSTM)</li> </ul>	<ul> <li>Swiss Tropical and Public Health Institute</li> </ul>
	Alnylam	<ul> <li>Massachussets Institute of Technology (MIT)</li> </ul>	<ul> <li>Theodor Bilharz Research Institute - (TBRI)</li> </ul>
	Caltech	<ul> <li>McGill University (McGill)</li> </ul>	Trypanosomiasis Research Centre at the Kenya
	<ul> <li>Center for Infectious Disease Research</li> </ul>	<ul> <li>Medical Research Council of South Africa (MRC)</li> </ul>	Agriculture Research Institute (KARI)
	<ul> <li>Center for World Health and Medicine (CWHM)</li> </ul>	<ul> <li>Medicines for Malaria Venture (MMV)</li> </ul>	<ul> <li>University of Bamako, Mali</li> </ul>
	<ul> <li>Centre of Excellence for Malaria Diagnosis,</li> </ul>	Merck (MSD)	<ul> <li>University of British Columbia</li> </ul>
	University of Lagos	<ul> <li>National Institute of Parasitic Diseases, China</li> </ul>	<ul> <li>University of Buea, Cameroon</li> </ul>
	<ul> <li>Drugs for Neglected Diseases initiative (DNDi)</li> </ul>	<ul> <li>National University of Singapore</li> </ul>	<ul> <li>University of California Berkeley</li> </ul>
	<ul> <li>Eisai</li> </ul>	NIH (USA)	<ul> <li>University of Dundee, UK</li> </ul>
	<ul> <li>Eskitis Institute</li> </ul>	<ul> <li>Northeastern University (NEU)</li> </ul>	<ul> <li>University of Edinburgh, UK</li> </ul>
	<ul> <li>GlaxoSmithKline (GSK)</li> </ul>	Novartis	University of South Florida
	<ul> <li>Infectious Disease Research Institute (IDRI)</li> </ul>	□ PATH	University of Ibadan, Nigeria
	International Centre for Genetic Engineering and	□ Pfizer	University of Kansas (KU)
	Biotechnology (ICGEB)	_	University of Washington, Seattle
	<ul> <li>International Vaccine Institute (IVI)</li> </ul>		Walter Reed Army Institute of Research (WRAIR

#### http://www.wipo.int/research/en/search/

### WIPO Re:Search Database

#### WIPO Re:Search Database

The WIPO Re:Search database provides information on the intellectual property assets available for licensing from WIPO Re:Search. These IP assets include compounds, enabling technologies, know-how, and more. The database facilitates the collaborations that help us to fight neglected tropical diseases, malaria, and tuberculosis.

#### · FAQs on the database

Terms of use

Need to find out more about an entry in the database?

To learn more about any entry, please contact the Partnership Hub, managed by BIO Ventures for Global Health.

Structured Sea	rch Full Text Search			
Disease:	Buruli Ulcer Chagas disease (American trypanosomiasis) Cysticercosis Dengue/dengue hemorrhagic fever Dracunculiasis (guinea-worm disease) Echinococcosis Endemic treponematoses (Yaws) Foodborne trematode infections (Clonorchiasis, Fascioliasis, Opi Human African trypanosomiasis Leishmaniasis	< >	Screening, Hits Data Hit-to-Lead Lead Series Pre-Clinical Candidate Clinical Candidate Marketed Product Enabling Technology (platform) Intellectual Property (patents) Formulation Diagnostic Tool Vaccine Technology New Biological Entity Other Data, Know-how, Services, Resources	
	Lymphatic filariasis Malaria Onchocerciasis Podoconiosis Rabies Schistosomiasis Snakebite	^	http://www.wipo.int/research/en/search/	
	Soil transmitted helminthiasis Trachoma Tuberculosis	~	59	

## WIPO Re:Search Report

- At the close of 2014, 82 collaborations were in place between Members, including 38 established in 2014.
- Sixteen new Members joined WIPO Re:Search in 2014, bringing the total number of Members to 94 organizations from 26 countries.



http://www.wipo.int/export/sites/www/research/docs/2014\_partnership\_hub\_report.pdf <sup>60</sup>

## WIPO Re:Search Cases

Year	Provider	User	Research description
2015	GlaxoSmithKline	University of British Columbia	GSK will provide a UBC researcher with a set of compounds with different anti-malarial properties and clearance times. The UBC researcher will test the compounds' effects on erythrocyte deformability during Plasmodium infection.
2015	NIH	Institut Pasteur de Tunis	Researchers from IP Tunis will be utilizing the NIAID/NIH preclinical services program to increase thermostability of IP Tunis' investigational rabies vaccine.
2015	Northeastern University	DNDi	A researcher at Northeastern University provided DNDi with data from compound assays obtained against cutaneous leishmaniasis; based on these data, DNDi is assessing these compounds against a pan-kinetoplastid assay panel.
2015	PATH	Centre Pasteur du Cameroun	PATH will provide a Centre Pasteur du Cameroun researcher with the heater. The CPC researcher will assess the NINA heater's compatibility with a LAMP assay developed to detect malaria gametocytes.
2015	Pfizer	Seattle BioMed	Pfizer will provide a Seattle BioMed researcher with a compound to test against liver-stage malaria.

### IP Advantage

- The IP Advantage database provides a one-stop gateway to case studies that chronicle the intellectual property (IP) experiences of inventors, creators, entrepreneurs and researchers from across the globe.
- The case studies offer insights into how IP works in the real world and how its successful exploitation can contribute to development.
- IP Advantage aims to promote a better understanding of how to create and protect intellectual property and reap the benefits provided by the IP system.

### IP Advantage Database

The case studies in the IP Advantage database offer insights into how IP works in the real world, and how its successful exploitation can contribute to development.

#### Case Studies on Intellectual Property (IP Advantage)

The case studies in the IP Advantage database offer insights into how IP works in the real world, and how its successful exploitation can contribute to development.

Please contact us to submit feedback and suggestions for new case studies.

Copyright and Related Rights

Industrial Designs

Integrated Circuits

Full text search

Reset

Geographical Indications and Appellations of Origin

Advanced search

Focus

Financing

Franchising

Recently added studies

Moving Healthcare to the Cloud

· Defining a Name's Origin: The Case of Feta

#### Featured

Simple search

Instrument of Protection



http://www.wipo.int/ipadvantage/en/

### **IP** Advantage Cases

### A Painless Needle

#### Background

For diabetes patients, daily injections are an uncomfortable and often painful part of life. With multiple injections required every day, anxiety and fear are typical emotions a patient may have when diagnosed with diabetes, especially for children and those with a fear of needles. Traditionally thought of as an unavoidable part of treatment, injection



Terumo's trademark is registered at the United States Patent and Trademark Office (USPTO)

therapy and the pain and discomfort it causes has become one of the major concerns of diabetes patients. However, thanks to Terumo Corporation (Terumo), a Tokyo based medical equipment manufacturer, this traditionally held view is changing.



Drawing from Terumo's patent application for its painless needle (PCT application PCT/JP2003/008781, PATENTSCOPE<sup>®</sup> search)



http://www.wipo.int/ipadvantage/en/details.jsp?id=2597

### IP Advantage Cases

### Using Traditional Knowledge to Revive the Body and a Community

#### Background

Nestled in the tropical forests of the Agasthyamalai hills of the Western Ghats, a mountain range in Kerala state, India, live the indigenous Kani tribe, traditionally a nomadic people with a population of almost 25,000. In December 1987, Dr. Palpu Pushpangadan, then director of the Tropical Botanical Garden and Research Institute (TBGRI) in Kerala, was leading a team from the All India Coordinated Research Project on Ethnobiology (AICRPE) on an ethnobotanical expedition to the Western Ghats.



The Western Ghats, the home of the Kani tribe (Photo: Alosh Bennett)





http://www.wipo.int/ipadvantage/en/details.jsp?id=2599

### IP Advantage Cases

### Bridging the Gap from the Laboratory to the Market

#### National Metal and Materials Technology Center, Thailand

In many emerging economies, researchers, inventors and entrepreneurs face many barriers to successfully commercializing innovations. Limited exposure to the commercial sector, less developed technological capability, and a general focus on academic publishing instead of intellectual property (IP) means that in many cases it is easier and less risky for companies to purchase technology from abroad instead of developing it locally. Many of these economies are also based on agricultural commodities, and slim profit margins make it difficult for players in the agricultural industry to take a risk on a locally developed technology that has yet to be proven.





Examples of semi-finished rubber in smoke sheets and rubber blocks (Photo: MTEC)

Resulting sludge containing rubber solids collected from processing equipment (Photo: MTEC)

http://www.wipo.int/ipadvantage/en/details.jsp?id=3246

## **IP Successes in ASEAN Region**

A collection of case studies highlighting how IP has been used to foster economic, social, and cultural development in Southeast Asian nations.



http://www.wipo.int/edocs/pubdocs/en/intproperty/asean\_studies/asean\_region\_ip\_successes.pdf

### Success Story – Thailand

**Propagandist** is a design company in Thailand established in 1994 by four advertising experts.

Success of Propagandist is based on creating playful yet practical designs that place priority on form, function, and fun. With slightly irreverent brands such as Mr. P, the company has been able to protect its products with IP, expand its brand portfolio and put the "P" back into profits.





### Shy Black Lamp

Dog Lamp

## Success Story – Viet Nam

Early awareness of the importance of the effective use of the IP system played a key role in **SCC's** success:

"Without investing in the protection of our IP assets, it would have been significantly easier for free riders to take advantage our ingenuity, creativity and reputation making it more difficult if not impossible, for the company to establish itself as a leader in cosmetics manufacturing in Viet Nam",



Bottle of Miss Saigon®

### say SCC's managers.

# Japan Patent Office (JPO)

JPO, "Annual Report in 2014"

http://www.jpo.go.jp/shiryou\_e/toushin\_e/kenkyukai\_e/annual\_report2014.htm

## **J-Plat Pat**

- J-Plat Pat is the Japanese IP database which is former IPDL (Industrial Property Digital Library).
- J-Plat Pat links to J-Global (Scientific Journal) and Chinese and Korean IP database.

	J-Plat Pat	Help desk (9:00-21:00) (+81)3-6666-8801 helpdesk@j-platpat.inpit.go.		Japanese Top page Help list Site map JPO INPIT	
	Patent & Utility Model	D Design	R TI	ademark	
	Number search	Classification search	Text search	Classification	
Patent & Utility Model	• <u>Patent &amp; Utility Model</u> <u>Number Search</u>	• <u>FI/F-term Search</u>	• <u>PAJ</u>	Patent Map Guidance(PMGS)	
Design	• Design Number Search	Design Classification Search		Japanese Design Classification List	
R Trademark		Searching Figure Trademarks or Non- traditional Marks	Japanese Trademark Database     Searching Goods & Services     Japanese Well-Known Trademark	<u>Table of the Classification of Figurative</u> or Other Elements of Marks	

https://www.j-platpat.inpit.go.jp/web/all/top/BTmTopEnglishPage



https://www4.j-platpat.inpit.go.jp/eng/tokujitsu/tkft\_en/TKFT\_EN\_GM201\_Top.action
# AIPN (1)

- In order to handle the increasing backlog of examination and to expedite the examination of a patent application that has been filed both in Japan and overseas, the JPO has been provide foreign IP Offices with the results of the searches and examinations conducted by the JPO.
- As part of this collaboration, in October 2004, the JPO established the Advanced Industrial Property Network (AIPN) through which examination information in the JPO and the results of searches and examinations conducted by the JPO are provided to foreign IP offices.

## AIPN (2)

- The purpose of the AIPN is to enable IP offices overseas to obtain in machine-translated English the following: file wrappers, examination-related documents, and information on the legal status of an application or a patent, thereby enabling Japanese applicants to more quickly obtain patents in other countries.
- As of March 2015, the AIPN has been available in the following 66 locations: Australia, Austria, Brazil, Canada, China, Chinese-Taipei, Denmark, Finland, France, Germany, Greece, Holland, India, Indonesia, Malaysia, Mexico, Norway, the Philippines, Poland, Korea, Romania, Russia, Thailand, United Kingdom, Vietnam, Singapore, Lao PDR, Cambodia, Myanmar..... 74

### **IPR Training from Japan**



### Summary

- WIPO has several IP Policies such as "WIPO Green", "WIPO Re: Search" and "IP Advantages", which are successful.
- JPO is providing "J-Plat Pat" and "AIPN". AIPN can provide the results of search and examination conducted by the JPO to foreign IP offices. IPR Training by the JPO has been successful.

# 4. Further Discussion

WIPO, "Statistical Country Profiles" http://www.wipo.int/ipstats/en/statistics/country\_profile/profile.jsp?code=JP

### China

- Patent Applications is increasing. Applications from Resident are more than those from Non-Resident.
- Digital communication, Computer technology and Pharmaceuticals are major fields of patent applications.



Source: WIPO statistics database; last updated: 12/2014

### Taiwan

- Patent Applications is stable. Applications from Resident are more than those from Non-Resident.
- PPH between US and Taiwan (2011), and PPH between Japan and Taiwan (2012) started.



#### **Patent Applications**

### India

- Patent Applications is increasing. Applications from Non-Resident are more than those from Resident.
- Pharmaceuticals, Organic fine chemistry and Computer technology are major fields of patent applications.



### Indonesia

- Patent Applications is increasing. Most applications are from Non-Resident.
- Consumer goods, Civil engineering, Furniture, Games are major fields of patent applications.



Source: WIPO statistics database; last updated: 12/2014



- Patent Applications is increasing. Applications from Non-Resident are more than those from Resident.
- Computer technology, Semiconductors and Civil engineering are major fields of patent applications.



Source: WIPO statistics database; last updated: 12/2014

### Thailand

- Patent Applications is unstable. Applications from Non- $\succ$ Resident are more than those from Resident.
- Transport, Furniture, Games, Consumer goods are major fields of patent applications.



### **Viet Nam**

- Patent Applications is increasing for past more than ten years. Most applications are from Non-Resident.
- Engines, Pumps, Turbines, Furniture, Games, Textile and Paper machines are major fields of patent applications.



### Singapore

- Patent Applications is stable for four years. Most applications are from Non-Resident.
- Semiconductors, Computer technology and Audiovisual technology are major fields of patent applications.





- Patent Applications is increasing, but not many for past several years. Most applications are from Abroad.
- Computer technology, Organic fine chemistry, Food chemistry are major fields of patent applications.



Source: WIPO statistics database; last updated: 12/2014



- Patent Applications is stable for several years. Most applications are from Resident.
- Electrical machinery, Audio-visual technology, Optics and Computer technology are major fields of applications.



Source: WIPO statistics database; last updated: 12/2014

### Summary

- CN is the most patent applications in the world. IN, ID, MY, VN and SG are also increasing the patent applications. JP is decreasing. Taiwan is stable, TH is unstable, and UG is a challenge.
- Technical fields of major patent applications are different among countries. Major fields are "Computer technology" (CH, ID, MY, SG, UG, JP), "Furniture, games" (ID, TH, VN), "Civil engineering" (ID, MY), "Semiconductors" (SG, MY), "Pharmaceuticals" (CH, IN), "Organic fine chemistry" (IN, UG), "Consumer goods" (ID, TH), "Audio-visual" (SG, JP).

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